

STATE OF VERMONT  
Agency of Natural Resources  
Department of Environmental Conservation

Application for an **Amendment** to an existing **Construction Loan** (Step 3) of a Pollution Control Project  
24 V.S.A. Chapter 120 – **Updated 5-4-2011**

Section I.

The municipality below in accord with 24 V.S.A. §4754, hereby makes application to the Vermont Department of Environmental Conservation and the Vermont Municipal Bond Bank for additional financial assistance pursuant to the provisions of 24 V.S.A. Chapter 120.

Municipality Name: \_\_\_\_\_

Purpose of Amendment: \_\_\_\_\_

Existing Loan Number: RF1/AR1-\_\_\_\_\_ Population Served:\_\_\_\_\_

Loan Information

Current Loan Amount \$ \_\_\_\_\_

Amount of this Request \$ \_\_\_\_\_

Total Loan Requests \$ \_\_\_\_\_

Borrowing Authorization

Total Borrowing Authorized from Bond Votes, Special Meeting Votes, etc \$ \_\_\_\_\_

Identify any non-SRF borrowing against authorization (Rural Development, Bond Bank, etc)

\$ \_\_\_\_\_

Additional Borrowing: Lender

\$ \_\_\_\_\_

No other borrowing has been made against the authorization to borrow

**Municipal Fiscal Year - Complete if not previously submitted or if information has changed.**

Information below has not changed from previous submittal

Municipal fiscal year end date: \_\_\_\_\_

Municipal DUNS number: \_\_\_\_\_

See: [http://www.dnb.com/US/duns\\_update/](http://www.dnb.com/US/duns_update/)

Done this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

By: \_\_\_\_\_  
(Name of Municipality)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(All or majority of its legislative body)

I, the undersigned, the duly qualified and acting \_\_\_\_\_ (clerk) of the \_\_\_\_\_, hereby certify that the above action was formally taken by the legislative body at a duly noticed meeting held on the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_ and is duly recorded in my office.

\_\_\_\_\_  
Signature of Officer

\_\_\_\_\_  
Print or Type Name and Title

Section II. Legal Information

A. Authority to Construct and to Bond (only required if new authority requested)

No additional borrowing has been authorized

- (1) Attach a copy of preliminary approving opinion.
- (2) Attach a copy of a legal warning for the meeting called to vote on the authorization to bond and construct this project. Attach a copy of the recorded vote on the articles pertinent to the project. The municipal clerk must certify the above as true copies.

B. Site Information (only required if new locations to be disturbed)

Information in this section has not changed from previous submittal

- (1) Attach a legal opinion indicating that adequate interest has been acquired in the major project site or sites (treatment facility, pump stations) to allow undisturbed use and possession for the purposes of construction, operation and maintenance of the project.
- (2) If easements or rights-of-way have been obtained for any remaining portions of the project (transmission and distribution lines), attach a legal opinion to indicate the extent of interests acquired.
- (3) If easements or rights-of-way have not been obtained, the municipality hereby understands and agrees that all additional costs incurred due to the municipality's failure to obtain easements and rights-of-way shall be the sole responsibility of the municipality.

C. Sale of Electricity (only required as appropriate)

Does not apply

When the financing of the project anticipates revenues from the sale of electricity, evidence shall be submitted showing that construction of the project has been authorized and that the public service board has approved rates for the project. Attach any decisions, rulings or other writings of the public service board expressing their approval to construct and their approval of proposed rates.

D. Compliance with Land Use and Development Act 10 V.S.A., Chapter 151. (only required as appropriate)

Information in this section has not changed from previous submittal

- (1) If the municipality has received the necessary permit for the project, attach a copy.
- (2) If a "Project Review Sheet" or "write-off" letter advising that no permit is required has been received, attach a copy.
- (3) If procedures to acquire a permit have been initiated, note here the date the request was filed with the District Environmental Commission \_\_\_\_\_.

E. Compliance with Health Department Regulations (only required as appropriate)

Information in this section has not changed from previous submittal

Attach a copy of notice of violation, permit, temporary permit, court order or other directive to the municipality concerning construction of the project.

F. Compliance with Discharge and/or Indirect Discharge Permit Requirements 10 V.S.A., Chapter 47 (only required as appropriate)

Information in this section has not changed from previous submittal

(1) If the municipality has received a discharge permit or indirect discharge permit for the proposed project, attach a copy.

(2) If the municipality has applied for such permits, note here the date such application was filed:  
\_\_\_\_\_.

G. Compliance with other State and Federal Permit and License Requirements (only required as appropriate)

Information in this section has not changed from previous submittal

(1) Attach a copy of any other state or federal permits or licenses required for the project and provide the certification on Attachment 1.

(2) If the municipality has applied for such permits or licenses but has not yet received them, indicate the permits or licenses sought and the date the application was filed.

\_\_\_\_\_  
\_\_\_\_\_

H. Compliance with Court or Administrative Orders (only required as appropriate)

Information in this section has not changed from previous submittal

Attach a copy of any Court or Administrative or other order that requires construction of the proposed project.

Section III. Project Financing

A. Funds to be made available for project:

Source	Applied %	Eligible Cost	Total	Date Available
VT/EPA SRF Loan				
Vermont Only Loan				
State Grant, Title 10 V.S.A.				
§1624a (CSO)	25%			
§1625 (DWF)	35%			
§1625e (PO4 Removal)	100%			
§1626a (Sludge/Septage)	50%			
Local Share				
Total Funding				

Section IV. Engineering Information (only required if additional engineering costs are included in the amendment)

There are no additional engineering service agreements.

A. Attach: 1) Engineering Service Agreement and 2) Level of Effort Table

See: <http://www.anr.state.vt.us/dec/fed/cs.htm>

- FED Simplified Step I & II Engineering Service Agreement
- Engineers Joint Contract Documents Committee (EJCDC) E-500 Standard Form of Agreement Between Owner and Engineer for Professional Service

B. Description of Facilities to be planned with additional funds.

Provide a brief description of the work to be funded with requested amendment funds.

C. Project Construction Section (only required as appropriate)

There are no new construction contracts being funded

- (1) Project will be advertised for bid on \_\_\_\_\_.
- (2) Contracts will be signed within \_\_\_\_\_ days after bid openings.
- (3) Projects will be completed within \_\_\_\_\_ days after contract signing.

D. Authorized Representative Certification

I \_\_\_\_\_, Authorized Representative on behalf of the \_\_\_\_\_  
\_\_\_\_\_, the legislative body of the \_\_\_\_\_, hereby certify that the  
foregoing information is true and correct to the best of my knowledge.

\_\_\_\_\_  
Authorized Representative

\_\_\_\_\_  
Date

Attach the engineer's estimates, consistent with the construction costs noted below. If previously submitted, additional copies are not required.

(1) Project Cost Summary

		TOTAL COST	COSTS ELIGIBLE FOR FEDERAL AID RD - HUD - EDA	COSTS ELIGIBLE OTHER
A	Construction - Project Element Contract 1 - Contract 2 - Contract 3 - Contract 4 - Small Purchases			
<b>Subtotal</b>				
B	Step I – Feasibility and Report - Preliminary Design Step II – Final Design - Value Engineering* Step III – Bidding Services - Construction Administration - Resident Project Representative - Other			
C	Legal and Fiscal			
D	Administrative			
E	Contingency			
F	Land Acquisition			
<b>TOTALS</b>				

\* Value Engineering is required for a construction projects with a total estimated construction building cost greater than 10 million dollars.

(2) Date of Estimate: \_\_\_\_\_ ENR: \_\_\_\_\_  
Source of Estimate: \_\_\_\_\_