

STATE OF VERMONT
Agency of Natural Resources
Department of Environmental Conservation

Application for an **Amendment** to an existing **Engineering Planning (Step I and II)** of a Pollution Control Project
24 V.S.A. Chapter 120 – **Updated 5-4-2011**

Section I.

The municipality below in accord with 24 V.S.A. §4754, hereby makes application to the Vermont Department of Environmental Conservation and the Vermont Municipal Bond Bank for additional financial assistance pursuant to the provisions of 24 V.S.A. Chapter 120.

Municipality Name: _____

Purpose of Amendment: _____

Existing Loan Number: RF1/AR1- _____

Loan Information

Current Loan Amount \$ _____

Amount of this Request \$ _____

Total Loan Requests \$ _____

Municipal Fiscal Year - Complete if not previously submitted or if information has changed.

Information below has not changed from previous submittal

Municipal fiscal year end date: _____

Municipal DUNS number: _____

See: http://www.dnb.com/US/duns_update/

Federal Tax ID number: _____

Done this _____ day of _____, 20_____.

By: _____
(Name of Municipality)

(A majority of its legislative body)

I, the undersigned, the duly qualified and acting _____ (clerk) of the _____, hereby certify that the above action was formally taken by the legislative body at a duly noticed meeting held on the _____ day of _____, 20_____ and is duly recorded in my office.

Signature of Officer

Print or Type Name and Title

Authorized Representative

Information below has not changed from previous submittal

The _____, as legislative body of the applicant, hereby authorizes
_____ and as an alternate, _____ to act as the
authorized representatives of the applicant for the purpose of furnishing to the state of Vermont such information,
data and documents pertaining to the application for a loan as may be required and otherwise to act as the
authorized representative of the applicant in connection with this project. Correspondence should be sent to the
authorized representative at the following address and email:

Name _____ Phone# _____

Phone Cell _____

Address _____ Email _____

Address _____ Fax # _____

Alternates Name _____ Phone# _____

Phone Cell _____

Address _____ Fax # _____

Address _____ Email _____

Section II. Legal Information

A. Authority to Construct and to Bond (only required if new authority requested)

No additional borrowing has been authorized

(1) Bond Vote

Attach a copy of a legal warning for the meeting called to vote on the authorization to bond for this project. Attach a copy of the recorded vote on the articles pertinent to the project.

(2) Voter Approved Short Term Notes (5 years or less)

Attach a copy of a legal warning and the minutes for a meeting called to vote on the authorization to borrow short term for this project.

(3) Legislative Body Authorization

If the legislative body of the municipality has authorized borrowing without public approval pursuant to Title 24, Chapter 120, §4756 (e), attach a copy of the signed resolution authorizing such indebtedness.

B. Site Information (subsections 1 through 3 below only apply to loans for final design)

Information in this section has not changed from previous submittal

(1) Attach a legal opinion indicating that adequate interest has been acquired in the major project site or sites (treatment facility, pump stations, sewer lines and appurtenances) to allow undisturbed use and possession for the purposes of construction, operation and maintenance of the project.

(2) If easements or rights-of-way have been obtained for any remaining portions of the project, attach a legal opinion to indicate the extent of interests acquired.

(3) Site(s) Selected: Yes _____ No _____
Option(s) Obtained: Yes _____ No _____
Period of Option: _____
Site(s) Purchased: Yes _____ No _____

(4) Provide a map (approximately 8 1/2" X 11") of the area proposed to be served by the project with the location of major system elements.

C. Compliance with Land Use and Development Act 10 V.S.A., Chapter 151 (Act 250)

Information in this section has not changed from previous submittal

This section is applicable if the loan is for final design.

(1) If the municipality has received the necessary permit for the project, attach a copy.

(2) If a "Project Review Sheet" or "write-off" letter advising that no permit is required has been received, attach a copy.

(3) If procedures to acquire a permit have been initiated, note here the date the request was filed with the District Environmental Commission _____.

D. Compliance with Discharge and/or Indirect Discharge Permit Requirements. 10 V.S.A., Chapter 47

Information in this section has not changed from previous submittal

This section is applicable if the loan is for final design.

- (1) If the municipality has received a discharge permit or indirect discharge permit for the proposed project, attach a copy.
- (2) If the municipality has applied for such permits, note here the date such application was filed. Date filed: _____.

E. Compliance with Court or Administrative Orders

Information in this section has not changed from previous submittal

- (1) If the project is required by a permit or order issued by this Department, note the number and date of that document below:

Discharge Permit # _____ Date _____

1272 Order _____ Date _____

1277 Order _____ Date _____

Other (describe other) _____ Date _____

Section III. Project Financing

Project Cost and Funding Summaries (complete if loan is for final design)

Attach the engineer's estimates, consistent with the construction costs noted below. If previously submitted, additional copies are not required.

(1) Project Cost Estimate

		TOTAL COST	COSTS ELIGIBLE FOR FEDERAL AID RD - HUD - EDA	COSTS ELIGIBLE OTHER
A	Construction - Project Element Contract 1 - Contract 2 - Small Purchases			
Subtotal				
B	Step I – Feasibility and Report - Preliminary Design Step II – Final Design Step III – Bidding Services - Construction Administration - Resident Project Representative - Other			
C	Legal and Fiscal			
D	Administrative			
E	Contingency			
F	Land Acquisition			
TOTALS				

(2) Date of Estimate _____ ENR _____
Source of Estimate _____

(3) Funds to be made available for project:

Source	Applied %	Eligible Cost	Total	Date Available
VT/EPA SRF Loan				
Vermont Only Loan				
State Grant, Title 10 V.S.A.				
§1624a (CSO)	25%			
§1625 (DWF)	35%			
§1625e (PO4 Removal)	100%			
§1626a (Sludge/Septage)	50%			
Local Share				
Total Funding				

Section IV. Engineering Information (only required if additional engineering costs are included in the amendment)

There are no additional engineering service agreements.

A. Attach: 1) Engineering Service Agreement and 2) Level of Effort Table

See: <http://www.anr.state.vt.us/dec/fed/cs.htm>

- FED Simplified Step I & II Engineering Service Agreement
- Engineers Joint Contract Documents Committee (EJCDC) E-500 Standard Form of Agreement Between Owner and Engineer for Professional Service

B. Description of Facilities to be planned with additional funds.

Provide a brief description of the project including size and length of interceptor, collector, numbers of pumping stations and capacity, major treatment plant units and capacities, if any. If the loan is for final design, indicate date of approval of facilities plan/preliminary engineering report. Approval Date _____

C. Project Schedule (optional if loan is for preliminary engineering)

(1) Final Plans will be completed on _____

(2) Target date for construction _____

D. Engineering Services

(1) Consultant

Name _____

Address _____

Town/State/Zip _____

Email _____

Phone# _____ Fax# _____

(E) Planning Cost Breakdown

(1) Office _____ Borings _____ Surveys _____ Other _____

(2) Total _____

Section V. Authorized Representatives Certification

I _____, Authorized Representative on behalf of the _____, the legislative body of the _____, hereby certify that the foregoing information is true and correct to the best of my knowledge.

Authorized Representative Date