

VERMONT

CLEAN WATER INTENDED USE PLAN

AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009 FUNDS

April 15, 2009

I. Introduction

This Intended Use Plan (IUP) accompanies the State of Vermont's application for a \$19,239,100 capitalization grant for the Clean Water State Revolving Fund (CWSRF) program under the American Recovery and Reinvestment Act (ARRA) of 2009. The IUP serves as the planning document to explain how each fiscal year's appropriation for the Clean Water State Revolving Fund (CWSRF) will be used by the Vermont Department of Environmental Conservation (DEC).

II. Goals

The State of Vermont is committed to using the capitalization grant for which it is applying to provide assistance to wastewater and nonpoint source projects which will proceed quickly to construction, creating jobs and furthering the water quality objectives of the Clean Water Act and the State of Vermont. DEC will enter into binding commitments for projects, which will proceed to construction or award of construction contracts by February 17, 2010.

The State of Vermont recognizes that the goal of the ARRA is to expeditiously fund eligible projects that simultaneously will create jobs, promote economic recovery, and generate long-term benefits from infrastructure investment. In this grant, the State is being called upon to accomplish goals that may not previously have been priorities in its base SRF program. Some priorities and activities in the State's base program that may not practically be attainable within the timeframes associated with the ARRA will be pursued using funds made available through the base CWSRF program.

At least fifty percent of the ARRA funds will be available in the form of grants or other subsidies. Based on applications received and their ability to sign construction contracts by February 17, 2010, the Department will structure a combination of loans and subsidies to assure that all available funds are issued to beneficial Vermont projects.

III. Sources and Uses of Funds

The State of Vermont is applying for a capitalization grant in the amount of \$19,239,066. This represents the amount that the State is eligible to receive from the supplemental appropriation enacted under the ARRA. The State of Vermont will add to ARRA funds, \$10,731,000 in funds from the CWSRF programs. The total amount of funds to be administered under this program is therefore \$29,200,503.

Table 1 Sources and Uses of Capitalization Grant

SOURCES	AMOUNT	
Capitalization Grant	\$19,239,066	
CWSRF Funds	<u>\$10,731,000</u>	
TOTAL	\$29,970,066	
USES		
Project Assistance Loans - ARRA	\$ 3,869,252	
Project Assistance Subsidization - ARRA	\$14,600,252	
Green Project Reserve loans		\$ 3,847,813
Administration (4 percent)	\$ 769,563	
Percent of ARRA funds as subsidy	79 %	
Project Assistance Loans - CWSRF	<u>\$10,731,000</u>	
TOTAL USES	\$29,970,066	

IV. Criteria and Method for Distribution of Funds

A. Project List

The Vermont League of Cities and Towns (VLCT) and DEC conducted a comprehensive outreach effort to alert potential borrowers of plans by the federal government to provide economic recovery stimulus funding. On February 13, 2009 the CWSRF program sent municipalities, engineers and other interested parties notice of the pending federal legislation, and an application to fill out and submit if they a municipality wished to be added to the State Priority list for ARRA funding. Over 100 applications were received by the March 13, 2009 due date. As a result of this effort the CWSRF program has identified over \$100 million in eligible projects that could be ready to proceed to construction within the time deadlines established by the ARRA. The attached project list includes projects that have been assessed through the CWSRF/ARRA prioritization process.

B. Additional Subsidization

The ARRA requires that not less than 50 percent of the ARRA capitalization grant provided to the states be allocated to projects as additional funding subsidy. The state legislature is prepared to provide the authority needed to provide the necessary principal forgiveness and final authority is anticipated by May 15, 2009.

The Department has determined that recipients of assistance under the ARRA program will be provided with loans that include forgiveness of principal of at least 50 percent of the total

amount of ARRA program assistance they receive. The Department reserves the ability to increase the level of loan forgiveness if necessary to distribute the funds within the required time period.

The attached project list shows that at least 50 percent of the available funding for projects will be provided via principal forgiveness, as will any subsequent revision to this list. This proposal uses 79 percent of ARRA funds as subsidy.

C. Green Project Reserve

The ARRA requires that, to the extent there are sufficient eligible project applications, not less than 20 percent of the funds provided for projects must be used for water or energy efficiency, green infrastructure, or other environmentally innovative activities. The attached project list shows that at least 20 percent of the total assistance amount of \$19,239,066 is for projects or portions of projects meeting one or more of the specific objectives required by this provision. Table 1 contains a listing of projects and their green project reserve portion which totals over \$8,000,000, which exceeds the target of \$3,847,813.

D. Preference for Rapid Allocation of Funds

The ARRA requires that these funds be awarded to projects that will be ready to proceed to construction within 12 months of the date of enactment. In anticipation of compliance with this requirement, the State of Vermont is consulting with all potential assistance recipients, and making a determination as to which of these projects can be started in the requisite timeframe. After receiving the ARRA funds capitalization grant, the State will provide ARRA assistance to projects that meet this basic requirement.

In addition, ARRA section 1602 requires that “recipients shall give preference to activities that can be started and completed expeditiously, including a goal of using at least 50 percent of the funds for activities that can be initiated not later than 120 days after...enactment” of the Act. The State of Vermont intends to implement this preference requirement by funding all projects with applications stating they will be ready to proceed with in 120 days, and then selecting for ARRA funding those projects, in order of State priority points, that appear likely to be able to start construction by September 1, 2009. State priority points take into consideration public health and environmental risk as well as population and economic need factors.

E. Avoidance of Re-allotment/Relationship to Base Program

In order to meet the requirements and deadlines of the ARRA for the expeditious and timely commitment of funds, the State of Vermont will regularly review the progress of assistance recipients under the statutory or program management deadlines specified in this IUP to identify any issues with the timeliness of this progress, and will take any actions necessary in order to assure use of all ARRA funds. The State will include conditions in its assistance agreements to ensure that assistant recipients make timely progress with respect to entering into contracts and/or construction. If a recipient fails to maintain progress with these conditions, the ARRA funds may be re-allocated to other projects that are ready to proceed.

The State understands that the U.S. Environmental Protection Agency may de-obligate grant

funds from States that fail to meet requirements on use of ARRA funds. However, if the State is eligible for additional funds made available by a re-allotment of ARRA funds, the State will provide EPA with a list of projects from its project priority list that are immediately prepared to proceed to construction.

F. Loan Terms and Fees

The Vermont CWSRF Program will offer the following loan terms:

- Standard Interest Rate is 0%.
- Repayment Term: Up to 20 Years
- Loan Origination Fee: None
- Administrative Fee: 2%

G. Re-allocated or Additional Federal Economic Stimulus Funds to the ARRA

In the event funds are re-allocated from the DWSRF to the CWSRF or additional federal funds are made available beyond the anticipated amount, Vermont will advance these funds to the appropriate projects in accordance with this Intended Use Plan, the Municipal Pollution Control Priority System, and any specific requirements of the re-allocated funds.

V. Public Review and Comment

In compliance with the requirement in CWA sec. 606(c) to provide for public review and comment, the State of Vermont has completed the following:

- On February 13, 2009 the CWSRF program sent notice to municipalities, engineers and other interested parties that included a form to be filled out by the municipality for it's project(s) to be added to the State Priority list for ARRA funding.
- Notice of ARRA funding and a public meeting was published the week of February 16, 2009 in several newspapers having a combined statewide circulation.
- March 6, 2008 a representative of the CWSRF program spoke at meeting sponsored by Senator Leahy in Burlington, Vermont on the ARRA funding for clean water and drinking water projects. The meeting was attended by over 700 people and the presentation on ARRA funding for clean and drinking water projects was presented at two sessions. Over 100 applications were received by the March 13, 2009 submittal date. A public hearing, attended by 110 people, was held on March 20, 2009 on the CWSRF and DWSRF ARRA programs.
- March 26, 2009 the Intended Use Plan in draft form was posted at <http://www.anr.state.vt.us/dec/fed/fed.htm> and copies were provided to public libraries. The State provided notice of the availability of this IUP to the public and all organizations and individuals on its distribution list by email, requesting all comments be submitted by April 3, 2009.

VI. Assurances

The Department agrees to comply with the Federal program requirements as outlined in the Operating Agreement between EPA and the State of Vermont effective 8/2/89.

VII. List of Projects to be Funded

See attached list of projects to be funded and extended portion of Intended Use Plat Project Funding List.

VII. List of Projects to be Funded

CWSRF Fundable Project Priority List

#	Municipality / Community Name	Project Type 1	Project Description	Priority Points	Permit Number	Type of Assistance 2	Project Cost	ARRA Assistance Amount	ARRA Subsidy Amount	Green Project Reserve	Estimated Contract Date
1	Montpelier City	CSO	CSO Elimination Projects (7	20.56	VT0100196		475,000	237,500	118,750	0	6/1/09
2	Springfield Town	CSO	Combined Sewer Overflow Abatement Phase ARRA	17.21	VT0100374	CW	2,750,000	1,375,000	687,500	0	5/15/09
3	South Burlington City	REFURB	WWTF Refurbishment and Enlargement	8.36	VT0100366	CW	25,000,000	2,000,000	1,000,000	2,000,000	6/15/09
4	Williston Town	STRMW	East Hill Road ditch stabilization	7.42	NA	CW	65,024	65,024	32,512	65,024	5/15/09
5	Williston Town	STRMW	Taft's Farm Commercial Condo Association	7.42	1-0513	CW	40,500	40,500	20,250	0	6/15/09
6	St. Albans City	REFURB	WWTF Refurbishment and Sludge Mgmt Improvements	6.64	VT0100323	CW	3,471,500	2,000,000	1,000,000	520,725	6/1/09
7	Essex Town	REFURB	Refurbish Alder Brook PS	5.38	VT0100111	CW	655,000	655,000	327,500	98,250	5/1/09
8	South Burlington City	STRMW	Quarry Ridge Stormwater Pond Retrofit	5.36	1-1257	CW	50,500	50,500	25,250	50,500	6/15/09
9	Johnson Village	SEWREP	Pearl Street Bridge Sanitary Sewer Main Replacement	5.29	VT0100901	CW	39,500	39,500	19,750	0	5/15/09
10	Johnson Village	SEWREP	School St Bridge Sanitary Sewer Main Replacement	5.29	VT0100901	CW	95,000	95,000	47,500	0	6/15/09
11	South Burlington City	Other-G	Bartlett Bay WWTF High Efficiency blower replacement	5.23	VT0100358	CW	250,000	250,000	125,000	250,000	6/15/09
12	Essex Village	Other-G	Aeration blower replacement with high efficiency centrifugal blower	5.02	VT0100111	CW	146,000	146,000	73,000	116,800	4/15/09
13	State of Vermont BGS	STRMW	133 State St - EPA US Capital Green Streets Program, Montpelier Vt	5.00	NA	CW	295,000	295,000	147,500	0	7/1/09
14	Morrisville Village	SEWREP	Congress ST Area Sewer Replacement	4.91	VT0100480	CW	339,400	339,400	169,700	0	5/1/09
15	Brandon	SEWREP	Reline 5620 ft sewer main & 20 manholes	4.76	VT0100056	CW	235,800	235,800	117,900	0	5/15/09
16	Castleton	STRMW	South Street Storm Sewe	4.64	NA	CW	400,000	400,000	200,000		5/15/09
17	Charlotte Town	OTHER	Thompson's Point WW Valve Box Covers	4.59	9-0244	CW	69,000	69,000	34,500	0	5/15/2009
18	Charlotte Town	OTHER	Thompson's Point WW System Ejector Alarms	4.59	9-0244	CW	24,000	24,000	12,000	0	6/15/09
19	Whiting Town	NPS	Whiting Town School District Septic System	4.40	na	CW	100,000	100,000	50,000	0	6/15/09
20	Troy and Jay Towns	Other-G	Solar sludge treatment	23.76	VT0101168	CW	2,628,000	2,000,000	1,000,000	1,681,920	7/30/09
21	Burlington	Other-G	Main WWTP Turbo Blower Project	21.83	VT0100153	CW	124,000	124,000	62,000	124,000	8/15/09
22	Burlington	CSO	Gazo CSO Reduction projec	21.83	VT0100226	CW	400,000	200,000	100,000	400,000	8/15/09
23	Burlington	CSO	Manhattan CSO Reduction Project	21.83	VT0100226	CW	800,000	400,000	200,000	800,000	8/15/09

#	Municipality / Community Name	Project Type 1	Project Description	Priority Points	Permit Number	Type of Assistance 2	Project Cost	ARRA Assistance Amount	ARRA Subsidy Amount	Green Project Reserve	Estimated Contract Date
24	Montpelier City	Other-G	WWTP Solar Panels	20.56	VT0100196	CW	175,000	175,000	87,500	175,000	7/1/09
25	Montpelier City	OTHER	Sewer Rodder/ Vacuum Truck Replacement	20.56	VT0100196	CW	325,000	325,000	162,500	0	7/1/09
26	St. Johnsbury Town	CSO	West Side Infrastructure Improvement project	20.49	VT0100579	CW	9,993,526	2,000,000	1,000,000	0	8/1/09
27	Proctor	CSO	Sewer System Rehabilitation	19.54	VT0100528	CW	200,000	100,000	50,000	0	8/1/09
28	Brattleboro Town	REFURB	Treatment Plant Enlargement / Upgrade / Refurbishment - RBC	13.62	VT0100064	CW	4,000,000	2,000,000	1,000,000	0	8/15/09
29	Brattleboro Town	REFURB	Treatment Plant Enlargement / Upgrade / Refurbishment - 3 PS	13.62	VT0100064	CW	900,000	0	0	0	9/1/09
30	Glover	SEWEX	Phase 2 Sewers in Parker lake area	7.78	SJ95-0069	CW	1,225,000	1,225,000	612,500	0	8/30/09
31	Williston Town	STRMW	Old Stage Road Culvert Repair/ Replacement	7.42	NA	CW	139,150	139,150	69,575	139,150	8/10/09
32	Williston Town	STRMW	Allenbrook Meadows/LeFevre Lane	7.42	2-0954	CW	250,000	250,000	125,000	250,000	8/15/09
33	Williston Town	STRMW	South Ridge	7.42	1-0664	CW	240,000	240,000	120,000	240,000	8/15/09
34	Williston Town	STRMW	Heritage Meadows	7.42	1-1258	CW	75,000	75,000	37,500	0	8/15/09
35	Williston Town	STRMW	The Commons at Williston Village	7.42	1-1052	CW	90,000	90,000	45,000	0	8/15/09
36	Bellows Falls	REFURB	WWTF Headworks Improvements Projects	7.36	VT0100013	CW	\$3,000,000	\$2,000,000	1,000,000	150,000	7/6/09
37	Hartford Town	REFURB	Sewer and Pump Station Rehabilitation Hartford (WRJ)	7.32	VT0101010	CW	652,500	652,500	326,250	0	8/25/09
38	Bradford Town	SEWEX	Lower Plains Sewer Extension for Industrial Park	7.29	VT0100803	CW	2,800,000	2,000,000	1,000,000	0	9/1/09
39	Pawlet Town	REFURB	West Pawlet Treatment Plant Refurbishment	7.06	VT0101192	CW	1,479,600	1,479,600	739,800	0	9/1/09
40	Hartford Town	REFURB	Sewer and Pump Station Rehabilitation Quechee	6.83	VT0100978	CW	797,500	797,500	398,750	0	8/25/09
41	No. Branch FD#1	Other-G	WWTF Upgrade - Water meter replacement	6.74	NS95-0155	CW	585,550	0	0	204,943	8/15/09
42	No. Branch FD#1	REFURB	WWTF Upgrade - W.Dover & Wilmington	6.74	NS95-0155	CW	2,637,000	2,000,000	1,000,000	922,950	8/15/09
43	Waterbury	SEWREP	Sanitary Sewer replacement village/business area	6.22	VT0100463	CW	810,750	810,750	405,375	0	7/1/09
44	Waterbury	SEWREP	Randal St Sewer Main Replacement	6.22	VT0100463	CW	448,944	448,944	224,472	0	7/1/09
							\$69,277,744	\$27,949,668	\$13,974,834	\$8,189,262	

#	Municipality / Community Name	Project Type 1	Project Description	Priority Points	Permit Number	Type of Assistance 2	Project Cost	ARRA Assistance Amount	ARRA Subsidy Amount	Green Project Reserve	Estimated Contract Date
---	----------------------------------	----------------------	---------------------	--------------------	------------------	----------------------------	--------------	------------------------------	---------------------------	--------------------------	-------------------------------

CWSRF - Eligible Projects Below the Funding Line

45	Bristol	STRMW	Stormwater System Upgrades (3) from 2004 flood in vil	5.73	NA	CW	1,886,460	1,886,460	943,230	0	9/1/09
46	Hinesburg Town	REFURB	Treatment Plant and Pump Station Refurbishment	5.61	VT0101028	CW	2,100,000	2,000,000	1,000,000	630,000	8/15/09
47	Rutland City	Other-G	Wastewater Lighting Improvements	5.60	VT0100871	CW	35,000	35,000	17,500	35,000	9/1/09
48	Rutland City	STRMW	White's Playground	5.60	NA	CW	60,000	60,000	30,000	60,000	9/1/09
49	Rutland City	SEWREP	Crescent Street sewer, water and storm sewer	5.60	VT0100871	CW	675,000	675,000	337,500	0	9/1/09
50	Rutland City	SEWREP	Interceptor Sewer Replacement	5.60	VT0100871	CW	500,000	500,000	250,000	0	9/1/09
51	Rutland City	SEWREP	West Street sewer and storm sewer replacement	5.60	VT0100871	CW	175,000	175,000	87,500	0	9/1/09
52	Rutland City	SEWREP	Killington Ave.replace water & sewer mains	5.60	VT0100871	CW	150,000	150,000	75,000	0	9/1/09
53	Essex Town	STRMW	EuroWest Town Center Stormwater Ponds	5.38	1-0775	CW	200,000	200,000	100,000	200,000	9/1/09
54	Essex Town	STRMW	Perkins Bend stormwater upgrade of pond	5.38	3081-9010	CW	150,000	150,000	75,000	150,000	9/1/09
55	Essex Town	STRMW	Woodlands II Indian Bk StrWtr Pond	5.38	1-1186	CW	200,000	200,000	100,000	200,000	9/1/09
56	South Burlington City	STRMW	Twin Oaks Stormwater Project	5.36	2-0825	CW	236,950	236,950	118,475	236,950	8/15/09
57	South Burlington City	STRMW	Ridgewood / Indian Creek Stormwater Retrofit Project	5.36	1-0239	CW	198,840	198,840	99,420	198,840	8/15/09
58	South Burlington City	STRMW	Winding Brook Stormwater Retrofit	5.36	2-0988	CW	127,900	127,900	63,950	127,900	8/15/09
59	South Burlington City	STRMW	Harbor Heights Stormwater Improvements	5.36	2-0120	CW	95,500	95,500	47,750	95,500	8/31/09
60	Essex Village	SEWREP	Sewer Line Repairs	5.32	VT0100111	CW	423,775	423,775	211,888	0	7/1/09
61	Essex Village	OTHER	Install 3 new manholes	5.32	VT0100111	CW	25,000	25,000	12,500	0	7/1/09
62	Milton	SEWREP	Main Street Sewer and Stormwater Infrastructure Improvements	5.17	VT0100684	CW	900,000	900,000	450,000	0	8/3/09
63	Barre City	REFURB	Sludge Digester Cover Replacement	5.15	VT0100889	CW	345,000	345,000	172,500	0	7/15/09
64	Barre City	Other-G	WWTF HVAC Upgrade	5.15	VT0100889	CW	175,000	175,000	87,500	175,000	7/15/09
65	Winooski City	REFURB	Upgrade headworks of treatment plant	5.08	VT0100510	CW	535,000	535,000	267,500	53,500	8/17/09
66	Windsor	REFURB	Bridge Street Pump Station Upgrade	5.05	VT0100919	CW	600,000	600,000	300,000		8/28/09

#	Municipality / Community Name	Project Type 1	Project Description	Priority Points	Permit Number	Type of Assistance 2	Project Cost	ARRA Assistance Amount	ARRA Subsidy Amount	Green Project Reserve	Estimated Contract Date
67	Essex Village	REFURB	WWTP Roof and Soffit Repairs	5.02	VT0100111	CW	43,000	43,000	21,500	0	8/1/09
68	Essex Village	REFURB	Concrete Tank Repairs and Sealing	5.02	VT0100111	CW	125,000	125,000	62,500	0	8/15/09
69	Essex Village	REFURB	Anaerobic digester cover rehabilitation	5.02	VT0100111	CW	100,000	100,000	50,000	30,000	8/30/09
70	Otter Valley Union HS	REFURB	Treatment Refurbishment and New Disinfection System	5.02	VT0020842	CW	600,000	600,000	300,000	150,000	8/15/09
71	Newport City	REFURB	Prive Ejector PS Replacement	5.00	VT0100200	CW	250,000	250,000	125,000	250,000	8/3/09
72	Newport City	SEWREP	Coventry Street Sewer Replacement	5.00	VT0100200	CW	130,000	130,000	65,000	0	8/3/09
73	Barton Village	REFURB	WWTP and Pump station Refurbishment - aux power	4.99	VT0100641	CW	180,000	180,000	90,000	36,000	8/15/09
74	Shelburne Town	SEWREP	WW Dept. Shoreline / Juniper Ridge I&I Improvements	4.98	VT0100820	CW	119,000	119,000	59,500	0	7/1/09
75	Shelburne Town	SEWREP	WW Dept. Hillside Slip-lining Project	4.98	VT0100820	CW	218,000	218,000	109,000	0	8/3/09
76	Shelburne Town	STRMW	Hullcrest Park Stormwater Improvements - Pinehurst RD Tie in	4.98	3928-INDO	CW	105,000	105,000	52,500	0	8/15/09
77	Pittsford Town	REFURB	Chlorine Contact Tank	4.95	VT0100692	CW	300,000	300,000	150,000	0	8/1/09
78	Pittsford Town	REFURB	Sludge Handling Modifications	4.95	VT0100692	CW	100,000	100,000	50,000	0	8/1/09
79	Pittsford Town	SEWREP	Mechanic and Pleasant Streets Sanitary Sewer Mains Replacement	4.95	VT0100692	CW	165,000	165,000	82,500	0	8/15/09
80	Randolph Town	SEWREP	Route 66 Siphon	4.94	VT0100285	CW	500,000	500,000	250,000	0	8/3/09
81	Bristol	OTHER	Bristol Wastewater Treatment Upgrade	4.83	9-0208	CW	406,100	406,100	203,050	0	9/1/09
82	Thetford	NPS	Thetford Academy Septic	4.70	NA	CW	358,000	358,000	179,000	0	7/1/09
83	Brighton Town	REFURB	Island Pond; Dale Avenue Ejector Station Upgrade	4.69	VT0100064	CW	200,000	200,000	100,000	200,000	8/3/09
84	Putney	REFURB	Headworks and Chemical Building upgrade	4.66	VT0100277	CW	250,000	250,000	125,000	250,000	7/15/09

#	Municipality / Community Name	Project Type 1	Project Description	Priority Points	Permit Number	Type of Assistance 2	Project Cost	ARRA Assistance Amount	ARRA Subsidy Amount	Green Project Reserve	Estimated Contract Date
85	West Rutland	REFURB	Force Main Replacement and Elm Street Pump Station Standby Power Upgrade	4.60	VT0100714	CW	185,000	185,000	92,500	9,250	8/15/09
86	Berlin	REFURB	Eliminate the UPS Pump Station	4.59	VT0100196	CW	160,000	160,000	80,000	160,000	8/1/09
87	Cavendish	REFURB	WW Pump Station Telemetry	4.50	VT0100862	CW	45,000	45,000	22,500	0	7/1/09
88	Cavendish	Other-G	WWTF Aeration System	4.50	VT0100862	CW	65,000	65,000	32,500	65,000	7/1/09
89	Cavendish	OTHER	Depot ST PS Emerg Power	4.50	VT0100862	CW	60,000	60,000	30,000	0	7/1/09
90	Sheldon Town	SEWREP	Sewer Line Replacemen	4.47	VT0100340	CW	49,000	49,000	24,500	0	8/1/09
91	Sheldon Town	REFURB	WWTP Sludge Handling Improvements	4.47	VT0100340	CW	35,000	35,000	17,500	0	8/1/09
92	Sheldon Town	REFURB	WWTP Energy Improvements	4.47	VT0100340	CW	61,000	61,000	30,500	0	8/25/09
93	Bethel	REFURB	River St. Pump Station Upgrade	4.44	VT0100048	CW	100,000	100,000	50,000	0	7/31/09
94	Wilmington Town	REFURB	Sewer Pump Station #1 Emergency Generator	4.38	VT0100706	CW	30,000	30,000	15,000	0	
95	Rochester Town	SEWREP	Clay Sewer Replacement Inflow Reduction	4.36	NS98-0225	CW	121,500	121,500	60,750	0	8/15/09
							\$14,855,025	\$14,755,025	\$7,377,513	\$3,312,940	

#	Municipality / Community Name	Project Type 1	Project Description	Priority Points	Permit Number	Type of Assistance 2	Project Cost	ARRA Assistance Amount	ARRA Subsidy Amount	Green Project Reserve	Estimated Contract Date
---	----------------------------------	----------------------	---------------------	--------------------	------------------	----------------------------	--------------	------------------------------	---------------------------	--------------------------	-------------------------------

Projects below have estimated contract dates after 9/1/09

96	Waitsfield Town	OTHER	New Collection and Treatment; Two Village areas Phase 1	26.60	na	CW	5,620,000	2,000,000	1,000,000	0	2/15/10
97	Middlebury Town	REFURB	Main PS - Add'l wet well capacity& grit removal	16.05	VT0100188	CW	1,800,000	1,800,000	900,000	270,000	10/15/09
98	Brattleboro Town	REFURB	Treatment Plant Enlargement / Upgrade / Refurbishment	13.62	VT0100064	CW	25,800,000	2,000,000	1,000,000	2,000,000	2/15/10
99	Alburgh Village	REFURB	Treatment Plant Lagoon Cleaning and Aeration Upgrade	9.77	VT0100005	CW	300,000	300,000	150,000	240,000	10/15/09
100	South Burlington City	REFURB	WW Pump Station Telemetry SCADA	8.36	VT0100366	CW	300,000	300,000	150,000	0	10/15/09
101	South Burlington City	REFURB	Timberlane PS replacement	8.36	VT0100366	CW	200,000	200,000	100,000	0	11/15/09
102	South Burlington City	Other-G	Microturbine power station, Airport Parkway outfall	8.36	VT0100366	CW	300,000	300,000	150,000	300,000	11/15/09
103	Williston Town	STRMW	Williston Central School	7.42	1-0932	CW	12,000	12,000	6,000	12,000	9/15/09
104	Williston Town	STRMW	Coyote rur	7.42	1-1507	CW	65,650	65,650	32,825	0	9/15/09
105	Williston Town	STRMW	Pleasant Acres	7.42	2-0231	CW	157,500	157,500	78,750	0	10/15/09
106	Williston Town	STRMW	Brennan Woods	7.42	1-1272	CW	140,000	140,000	70,000	0	10/15/09
107	Williston Town	STRMW	Golf Links	7.42	2-1180	CW	65,000	65,000	32,500	0	10/15/09
108	Hartford Town	ENLGMT	White River Junction Plant Enlargement	7.32	VT0101010	CW	9,600,000	2,000,000	1,000,000	0	2/1/10
109	Hartford Town	ENLGMT	Quechee Plant Enlargemen	6.83	VT0100978	CW	7,302,000	2,000,000	1,000,000	0	2/1/10
110	South Burlington City	STRMW	Horticulture Farm Ponds	5.36	NA	CW	147,000	147,000	73,500	147,000	10/15/09
111	South Burlington City	STRMW	Butler Farms Stormwater Detention Pond	5.36	2-0312	CW	340,500	340,500	170,250	340,500	12/15/09
112	South Burlington City	STRMW	Village at Dorset Park Stormwater Retrofit	5.36	1-0647	CW	133,900	133,900	66,950	0	1/15/2010
113	Richmond	SEWREP	Sewer Manhole improvements	5.35	VT0100617	CW	52,000	52,000	26,000	0	2/15/10
114	Richmond	STRMW	Baker/Millet/Railroad St Storm Drain Improvements	5.35	NA	CW	271,000	271,000	135,500	0	2/15/10
115	Richmond	SEWREP	Bridge St Sewer Main and Storm Drain Improvements	5.35	VT0100617	CW	382,500	382,500	191,250	0	2/15/10
116	Richmond	SEWREP	Esplanade Sewer Main Improvement	5.35	VT0100617	CW	147,000	147,000	73,500	0	2/15/10

#	Municipality / Community Name	Project Type 1	Project Description	Priority Points	Permit Number	Type of Assistance 2	Project Cost	ARRA Assistance Amount	ARRA Subsidy Amount	Green Project Reserve	Estimated Contract Date
117	Essex Village	SEWREP	N. Street Sanitary Sewer Replacement	5.32	VT0100111	CW	1,298,618	1,298,618	649,309	0	9/15/09
118	Essex Village	REFURB	Assorted PS Control Improvements	5.32	VT0100111	CW	47,000	47,000	23,500	0	10/1/09
119	Johnson Village	REFURB	River Street Sanitary Sewer Pump Station	5.29	VT0100901	CW	250,000	250,000	125,000	0	9/30/09
120	Cabot Town	Other-G	WWTP Energy Improvements	4.28	VT0101257	CW	105,000	105,000	52,500	105,000	10/15/09
121	Enosburg Falls - Housing Foundation Inc	STRMW	Treatment of Stormwater, on site landscaping to maintain natural water flow, rebuild storm drains	3.58	NA	CW	224,000	224,000	112,000	56,000	9/15/09
							\$55,060,668	\$14,738,668	\$7,369,334	\$3,470,500	

1 Project Type

REFURB Refurbishment
CSO Combined Sewer Overflow
PHOS Phosphorus Removal
DWF Pollution Abatement of Dry Weather Flows
ENLGMT Enlargement of Wastewater Treatment Facilities
SEWEX Sewer Extensions
STRMW Storm Water Abatement Projects
SEWREP Sewer Line Replacement
NPS Non Point Source
Other-G Energy Eff, Water Eff, Innovation, Green Infrastructure

2 Type of Assistance

CW CWSRF Loan / Principal forgiveness

**Extended Portion
Of Intended Use
Plan Project
Funding List***

ADDISON TOWN
ALBANY TOWN
Albany Village
ALBURGH TOWN
Alburgh Fire District #1
Alburgh Village
Algiers Fire District #1
ANDOVER TOWN
ARLINGTON TOWN
ATHENS TOWN
BAKERSFIELD TOWN
Bakersfield Fire District #1
BALTIMORE TOWN
BARNARD TOWN
BARNET TOWN
BARRE CITY
BARRE TOWN
BARTON TOWN
Barton Village
Bellows Falls Village
BELVIDERE TOWN
BENNINGTON TOWN
BENSON TOWN
BERKSHIRE TOWN
BERLIN TOWN
BETHEL TOWN BLOOMFIELD TOWN
BOLTON TOWN
BRADFORD TOWN
BRAINTREE TOWN
BRANDON TOWN
Brandon Fire District #1
Brandon Fire District #2
BRATTLEBORO TOWN
BRIDGEWATER TOWN
BRIDPORT TOWN
BRIGHTON TOWN
BRISTOL TOWN
Bristol Village
BROOKFIELD TOWN
BROOKLINE TOWN BROWNINGTON
TOWN
BRUNSWICK TOWN
BURKE TOWN
BURLINGTON CITY
CABOT TOWN
Cabot Village
CALAIS TOWN
CAMBRIDGE TOWN
Cambridge Village
CANAAN TOWN
CASTLETON TOWN
Castleton Fire District #1
Castleton Fire District #3
CAVENDISH TOWN
Cavendish Fire District #1
Cavendish Fire District #2
CHARLESTON TOWN
CHARLOTTE TOWN
CHELSEA TOWN
CHESTER TOWN
CHITTENDEN TOWN
CLARENDON TOWN
COLCHESTER TOWN
Colchester Fire District #1
Colchester Fire District #2
Colchester Fire District #3
Cold Brook Fire District #1
CONCORD TOWN

CORINTH TOWN
CORNWALL TOWN
COVENTRY TOWN
CRAFTSBURY TOWN
DANBY TOWN
Danby-Mt. Tabor Fire
District#1
DANVILLE TOWN
Danville Fire District #1
DERBY TOWN
Derby Center Village
Derby Line Village
DORSET TOWN
DOVER TOWN
DUMMERSTON TOWN
DUXBURY TOWN
EAST HAVEN TOWN
EAST MONTPELIER TOWN
EDEN TOWN
ELMORE TOWN
Enosburg Falls Village
ENOSBURG TOWN
ESSEX TOWN
Essex Junction Village
FAIR HAVEN TOWN
FAIRFAX TOWN
FAIRFIELD TOWN
Fairfield Fire District #1
FAIRLEE TOWN
FAYSTON TOWN
FERRISBURGH TOWN
Ferrisburgh Fire District #1
FLETCHER TOWN
FRANKLIN TOWN
GEORGIA TOWN
GLOVER TOWN
GOSHEN TOWN
GRAFTON TOWN
GRANBY TOWN
GRAND ISLE TOWN
Grand Isle Fire District #4
Graniteville Fire District
#4
GRANVILLE TOWN
GREENSBORO TOWN
GROTON TOWN
Groton Village
GUILDHALL TOWN
GUILFORD TOWN
H2O F.F.D. #2 (Fairfield 2)
HALIFAX TOWN
HANCOCK TOWN
HARDWICK TOWN
HARTFORD TOWN
HARTLAND TOWN
HIGHGATE TOWN
HINESBURG TOWN
HOLLAND TOWN
HUBBARDTON TOWN
HUNTINGTON TOWN
Huntington Woods Fire
District
HYDE PARK TOWN
Hyde Park Village
IRA TOWN
IRASBURG TOWN
ISLE LA MOTTE TOWN
Jacksonville Village
JAMAICA TOWN
JAY TOWN
Jeffersonville Village
JERICHO TOWN
Jericho Fire District #1
Jericho Village
JOHNSON TOWN
Johnson Village

KILLINGTON TOWN
KIRBY TOWN
LANDGROVE TOWN
LEICESTER TOWN
LEMINGTON TOWN
LINCOLN TOWN
LONDONDERRY TOWN
LOWELL TOWN
LUDLOW TOWN
Ludlow Village
LUNENBURG TOWN
Lunenburg Fire District #1
Lunenburg Fire District #2
LYNDON TOWN
Lyndonville Village
MAIDSTONE TOWN
MANCHESTER TOWN
Manchester Village
MARLBORO TOWN
MARSHFIELD TOWN
Marshfield Village
MENDON TOWN
MIDDLEBURY TOWN
MIDDLESEX TOWN
MIDDLETOWN SPRS TOWN
MILTON TOWN
MONKTON TOWN MONTGOMERY TOWN
MONTPELIER CITY
Montpelier Fire District #1
MORETOWN TOWN
MORGAN TOWN
MORRISTOWN TOWN
Morrisville Village
MOUNT HOLLY TOWN
MOUNT TABOR TOWN
NEW HAVEN TOWN
NEWARK TOWN
NEWBURY TOWN
Newbury Village
NEWFANE TOWN
Newfane Village
NEWPORT CITY
NEWPORT TOWN
North Bennington Village
North Branch Fire District
#1
NORTH HERO TOWN
North Troy Village
North Westminster Village
NORTHFIELD TOWN
Northfield Village
NORTON TOWN
NORWICH TOWN
Norwich Fire District #1
Old Bennington Village
ORANGE TOWN
Orleans Village
ORWELL TOWN
PANTON TOWN
PAWLET TOWN
PEACHAM TOWN
Peacham Fire District #1
Perkinsville Village
PERU TOWN
PITTSFIELD TOWN
PITTSFORD TOWN
Pittsford Fire District #1
PLAINFIELD TOWN
PLYMOUTH TOWN
POMFRET TOWN
POULTNEY TOWN
Poultney Village
POWNAI TOWN
Pownal Fire District #2
PROCTOR TOWN
PUTNEY TOWN

RANDOLPH TOWN
Randolph Fire District #1
Randolph Village
READING TOWN
READSBORO TOWN
RICHFORD TOWN
Richford Village
RICHMOND TOWN
RIPTON TOWN
ROCHESTER TOWN
ROCKINGHAM TOWN
ROXBURY TOWN
ROYALTON TOWN
Royalton Fire District #1
RUPERT TOWN
RUTLAND CITY
RUTLAND TOWN
Rutland Town Fire District
#1
Rutland Town Fire District
#4
Rutland Town Fire District
#5
Rutland Town Fire District
#8
Rutland Town-Mendon FD#2
RYEGATE TOWN
Ryegate Fire District #2
SALISBURY TOWN
SANDGATE TOWN
Saxtons River Village
SEARSBURG TOWN
SHAFTSBURY TOWN
SHARON TOWN
SHEFFIELD TOWN
SHELBURNE TOWN
SHELDON TOWN
Sherburne Fire District #1
SHOREHAM TOWN
SHREWSBURY TOWN
SOUTH BURLINGTON CITY
South Burlington Fire
District #1
South Georgia Fire District
#1
SOUTH HERO TOWN
South Hero Fire District #4
South Ryegate Village
SPRINGFIELD TOWN
SAINT ALBANS CITY
SAINT ALBANS TOWN
SAINT GEORGE TOWN
SAINT JOHNSBURY TOWN
STAMFORD TOWN
STANNARD TOWN
STARKSBORO TOWN
STOCKBRIDGE TOWN
STOWE TOWN
STRAFFORD TOWN
STRATTON TOWN
SUDBURY TOWN
SUNDERLAND TOWN
SUTTON TOWN
SWANTON TOWN
Swanton Village
THETFORD TOWN
TINMOUTH TOWN
TOPSHAM TOWN
TOWNSHEND TOWN
Townshend Village
TROY TOWN
TUNBRIDGE TOWN
UNDERHILL TOWN
VERGENNES CITY
VERNON TOWN
VERSHIRE TOWN

VICTORY TOWN
WAITSFIELD TOWN
WALDEN TOWN WALLINGFORD TOWN
Wallingford Fire District #1
Wallingford Fire District #2
WALTHAM TOWN
WARDSBORO TOWN
WARREN TOWN
WASHINGTON TOWN
Washington Fire District #1
WATERBURY TOWN
Waterbury Village
WATERFORD TOWN
WATERVILLE TOWN
WEATHERSFIELD TOWN
Websterville Fire District
#3
WELLS TOWN
Wells River Village
West Burke Village
WEST FAIRLEE TOWN
WEST HAVEN TOWN
WEST RUTLAND TOWN
WEST WINDSOR TOWN
WESTFIELD TOWN
WESTFORD TOWN
WESTMINSTER TOWN
Westminster Village
WESTMORE TOWN
WESTON TOWN
WEYBRIDGE TOWN
WHEELLOCK TOWN WHITING TOWN
WHITINGHAM TOWN
WILLIAMSTOWN TOWN
WILLISTON TOWN
WILMINGTON TOWN
WINDHAM TOWN
WINDSOR TOWN
WINHALL TOWN
Winhall-Stratton Fire
District
WINOOSKI CITY
WOLCOTT TOWN
WOODBURY TOWN
WOODFORD TOWN
WOODSTOCK TOWN
Woodstock Village
WORCESTER TOWN
Worcester Fire District #1

*Note: The Towns listed in this table include all political subdivisions therein, whether such subdivisions are specifically included on the listing in their own names or not.

VERMONT AGENCY OF NATURAL RESOURCES
DEPARTMENT OF ENVIRONMENTAL CONSERVATION
Clean Water State Revolving Fund (CWSRF) - American Recovery and Reinvestment
Act (ARRA) of 2009.S Clean Water Intended Use Plan

Hearing and Public Responsiveness Summary

Hearing

A public hearing to receive comments on the state Clean and Drinking Water Intended Use Plans (IUP) project lists prior to adoption was convened at approximately 1:00 pm on Friday March 20, 2009. The hearing was held in the central auditorium at the state office complex in Waterbury, Vermont. Notice of the meeting was sent to those municipalities, organizations, and individuals appearing on the mailing list maintained for this purpose, and was published in regional newspapers during the week of February 16th. Winslow Ladue of the Facilities Engineering Division and Eric Blatt of Water Supply Division moderated the meeting. Over 110 representatives of municipalities, consulting engineering companies, USDA Rural Development, Vermont Rural Water Association, and Vermont Bond Band, and Department staff member attended the hearing.

It was noted that any verbal or written comments received through April 3rd would be considered in the preparation of the final documents.

<i>Comments</i>	<i>Responses</i>
Can projects go out to bid before funds are available?	Yes. We recommend State review and coordination of bid documents before they are advertised to ensure eligibility.
Can a community not on fundable portion of list go to bid and set it up with all requirements, what are risks?	Yes. They risk not receiving state funds for the project.
What is "shovel ready?"	For compliance with the February 17, 2010 ARRA deadline, contracts must be signed by both parties.
Do green projects add to priority points?	No
If project is 1/3 green does it move whole project up?	No
What is status of assistance for systems with bond language, so that they get it right the first time?	Contact our office as we have some examples for you to consider.
Priority list rankings are different between SRF and ARRA. As projects shift back	All projects on the CWSRF 2008 priority list for construction in 2009 will have available SRF funds for any unfunded eligible portions of the project, not funded by

<p>and forth between lists, i.e. partial funding from each, which ranking will be used? Do communities need to reapply to SRF list to get better ranking?</p>	<p>ARRA. Projects not on the 2008 priority list for construction in 2009, will need to apply for available SRF funds or seek alternative funding. At this time it is likely that the ARRA eligible projects can be funded by available CWSRF funds.</p>
<p>What about communities that do not need a bond, i.e. they are funding in current year and already have money in budget, do they still need to do a bond vote?</p>	<p>The municipality can vote at a special or annual meeting to borrow funds for less than 5 years. The meeting is warned the same way as a special or annual meeting, reducing the posting and publication needs of a bond election. See: <i>Title 24: Municipal and County Government; Chapter 53: Indebtedness § 1786a. Borrowing for public improvements and capital assets,</i> <i>(b) If the improvements or assets are to be financed for a term of five years or less, they shall be approved by the voters at an annual or special meeting duly warned for the purpose in accordance with the provisions of chapter 55 of Title 17. However, the requirement of this subsection shall not apply to purchases made by selectboards under the provisions of 19 V.S.A. § 304(a)(3).</i></p>
<p>How is financing during active part of project going to work? Does a community need to have interim financing to pay ongoing bills?</p>	<p>Communities using FED funding will need to have interim financing to pay for ongoing work. Once work is paid for, requests for reimbursement can be sent to the FED for processing.</p>
<p>Contractors are having trouble getting performance bonds (economy & lending problems). Are we working on this in the front end docs to be flexible to help with this?</p>	<p>Yes, we have raised the threshold for using the CS-5 Front End Contract Document set from \$ 100,000 to \$ 250,000. CS-5 Set allows an irrevocable letter of credit (100% of contract price) to be submitted in lieu of a Bond. By raising the threshold for the allowable use of the CS-5 set, more small projects can be BID using this provision. The CS-1 set (for contracts estimated to be above \$ 250,000), do not have the irrevocable letter of credit option.</p>
<p>Will the DBE requirement be applied to engineering and consulting contracts? When will we know if we need to comply? We already have contracts out there.</p>	<p>The DBE requirements will remain the same as for the existing CWSRF program and will not apply to contracts for construction services.</p>
<p>What is the proposed process for using the green reserve and applying it to projects?</p>	<p>The State needs to request projects that meet the green project reserve definition, and then certify to EPA on the status of meeting the target amount. No additional points are allowed in the rules governing the issuance of priority points under the CWSRF program.</p>
<p>What is the basis for order of listing on priority list?</p>	<p>The first projects are those reporting being ready to proceed to construction within 120 days of passage of</p>

	ARRA. The next group are those reporting being ready to proceed to construction by September 1, 2009 and are listed in order of decreasing priority points.
What makes a project green?	The green project reserve has four categories: water conservation, energy efficiency, green projects and innovative projects. For more detailed explanation, please see our web site.
What is ready to proceed in 120 days?	Construction contracts must be signed by both parties by June 17, 2009.
What is the cutoff for projects already in the planning stages that have not applied? Is it too late?	We have received applications for 121 projects for a total of over 139 million dollars in project costs which exceeds the available funds of 29.2 million dollars. No additional project applications are being accepted at this time.
Are projects funded by ARRA still eligible for grants under Title 24, Chapter 55?	Yes, municipalities may apply for these funds using forms available from our web site. The availability of these funds is extremely limited and dependent upon legislative allocations.
What are procurement processes for small purchases? What about bond vote procedures for small projects?	<p>Small purchases generally include services, supplies, equipment, etc. valued at less than \$ 100,000. EPA Procurement Requirements Title 40, Volume 1, Part 36, Sec 31.36 (d) Methods of Procurement to be followed - - (1) Procurement by Small Purchase procedures. We require solicitation of price quotations from two or more qualified sources, and selection of the low quotation. If general contract work is solicited this way we usually require the CS-5 Front End Contract Set to be used.</p> <p>Projects less that \$75,000 may be authorized by a municipality at a duly warned regular meeting. See Title 24: Municipal and County Government Chapter 120: Special Environmental Revolving Fund, § 4755. Loan; loan agreements, (a) Except as provided by subsection (c) of this section, the bond bank may make loans to a municipality on behalf of the state for one or more of the purposes set forth in section 4754 of this chapter. Each of such loans shall be made subject to the following conditions and limitations:... (4) notwithstanding any other provisions of law, municipal legislative bodies may execute notes and incur debt on behalf of municipalities, with voter approval at a duly warned meeting, for amounts less than \$75,000.00, or increase previously approved bond authorizations by up to \$75,000.00 to cover unanticipated project costs; and...</p>
Will there be enough SRF funds to pay for the unfunded balances of projects funded by ARRA?	All projects on the CWSRF 2008 priority list for construction in 2009 will have available SRF funds for any unfunded eligible portions of the project, not funded by

	<p>ARRA. Projects not on the 2008 priority list for construction in 2009, will need to apply for available SRF funds or seek alternative funding. At this time it is likely that the ARRA eligible projects can be funded by available CWSRF funds.</p>
<p>We request that the two million dollar cap be reconsidered and raised to allow for more funding of larger projects. Reconsideration should include the size of project, population impacted, economic need and costs of associated with accepting ARRA assistance.</p>	<p>One of the primary goals of ARRA is to create jobs and this was considered best accomplished by funding a larger number of projects. Several levels of cap were considered and the two million dollar level was arrived at after considering the scale of most projects (100 of 121 projects eligible for ARRA funding are less than two million dollars).</p>
<p>Is there anything a municipality with a project below the funding line, can do to make their project a stronger candidate for ARRA funding?</p>	<p>Yes. Projects currently below the funding line should proceed with completion of the planning and engineering final design work, up to the point of being ready to seek approval to bid the project.</p> <p>Municipalities below the funding line can apply for a loan from our office to cover these costs. The loan is repaid when it is rolled into the construction loan, or after 5 years should the project not proceed to construction.</p>