

STATE OF VERMONT
AGENCY OF NATURAL RESOURCES
DEPARTMENT OF ENVIRONMENTAL CONSERVATION

FINANCIAL ASSISTANCE PROGRAM FOR THE
CONSTRUCTION OF MUNICIPAL WATER POLLUTION
CONTROL FACILITIES

Title 10 V.S.A., Chapter 55, Sections 1624(a) and 1625

APPLICATION FOR FINANCIAL ASSISTANCE

SECTION I: Request for Construction Grant

Project No. _____
(For State Use)

The _____ (municipality name) in accord with the provisions of Vermont Statutes Annotated hereby makes application to the Vermont Department of Environmental Conservation for State financial assistance in the amount of \$ _____ under the provisions of Title 10 V.S.A., Chapter 55, Sections 1624(a) and 1625.

The above funds are requested to assist in the cost of construction of _____
_____ described in detail in Section IV of this application.

The _____ as governing body of the applicant hereby agrees:

- (a) That if a State grant or loan is made, the applicant will pay the remaining costs of the approved project less Federal grants/loans and/or other funds, if any;
- (b) That the project will be constructed in strict accord with the drawings and specifications approved by the Department of Environmental Conservation;
- (c) To provide and maintain competent and adequate engineering supervision and inspection of the project to insure that the construction conforms with the approved drawings and specifications;
- (d) That it will submit to the Department of Environmental Conservation such documents and information related to this project as it may require;
- (e) That the construction contract will provide that the representatives of the Department of Environmental Conservation will have access to the work wherever it is in preparation or progress and that the contractor will provide proper facilities for such access and inspection;
- (f) That adequate accounting and fiscal records shall be maintained to reflect the receipt and expenditure of funds for the purpose of this project and all funds, however provided, for the payment of the cost of the project, shall be deposited promptly upon receipt thereof in a separate checking account, and these funds shall be expended only for costs of the project;
- (g) To provide regular, proper, and efficient operation and maintenance of the approved project in

accord with standards established by the Department after completion thereof;

- (h) That a sewer use ordinance will be adopted and implemented which requires all persons in the area to be served by the project to connect to the sewer system when completed or to construct proper individual waste treatment facilities approved by the Agency of Natural Resources, Wastewater Management Division;
- (i) That it will comply with all state requirements for construction management, including, but not limited to, approval from the Department prior to advertising for bids for construction, approval prior to award of bids, approval of change orders, and performance of a final inspection by the Department; and
- (j) That it will submit to the Department for audit documentation of all project costs and expenditures when requested.

The _____ as governing body of the applicant hereby authorizes _____ to act as the authorized representative of the applicant for the purpose of furnishing to the State of Vermont such information, data and documents pertaining to the application for a grant as may be required, and otherwise to act as the authorized representative of the applicant in connection with this project. The engineering consultant CANNOT function as the Authorized Representative.

Done this _____ day of _____, 20 _____.

Signature of Governing Body:

I, the undersigned, the duly qualified and acting _____ of the _____, hereby certify that the above action was formally taken by the governing body at a meeting held on the _____ day of _____, 20____, and is duly recorded in my office.

Signature of Officer

(Print or Type Name and Title of Officer)
SECTION II: Site and Permits

A. Authority to Construct and to Bond

- 1) Attach or include the following documents: A copy of a legal warning for the meeting called to vote on the authorization to bond and construct this pollution construction project.
- 2) A copy of the recorded vote on the articles pertinent to the project.
- 3) A certification by the municipal clerk that the documents in the above sections are true copies.

B. Site Information

- 1) If the treatment plant site or other major project site(s) has/have been acquired, provide the following information if such data has not been previously submitted.
 - (a) Attach a legal description of the site(s).
 - (b) Attach a legal opinion as to the precise interest in the site(s) which has/have been acquired.
- 2) If all lands, easements and rights-of-way required for the sewer and appurtenant works construction have been acquired, attach a legal opinion to that extent.
- 3) If the required interests in the necessary lands have not been acquired, state the nature and extent of interests in lands, easements and rights-of-way which will be acquired, and indicate the proposed method and the time required for acquisition. Also refer to applicable legal requirements and authority.

C. Compliance with the Land Use and Development Act, Title 10 V.S.A., Chapter 151. Submit if not previously submitted.

- 1) If the municipality has received the necessary permit for the project, attach a copy.
- 2) If a "write-off" letter advising that no permit is required has been received, attach a copy.
- 3) If procedures to acquire a permit have been initiated, note here the date the request was filed with the Environmental District Commission _____.

SECTION III: Project Financing

- A. Submit a copy of the portion of the 5 or 10-year capital budget that includes construction and expansion/maintenance of these pollution control facilities.

B. Funds to be made available for project

Date Available

Cash	\$ _____	_____
General Obligation Bonds	_____	_____
Revenue Bonds	_____	_____
State Aid Under _____	_____	_____
Under _____	_____	_____
Under _____	_____	_____
Federal Aid		
Under _____	_____	_____
Under _____	_____	_____
Other (Specify)		
_____	_____	_____
_____	_____	_____

TOTAL \$ _____

C. Funds to be made available annually for operation and maintenance of the proposed system.

Salaries	\$ _____	Maintenance	\$ _____
Power	_____	Insurance	_____
Fuel	_____	Administration	_____
Telephone	_____	Other	_____
Chlorine	_____	Other	_____

TOTAL \$ _____

SECTION IV. Engineering Information

A. Description of the Project

Provide a brief description of the project, including length of sewer, number of pumping stations and capacity, major treatment plant units and capacities, submit drawings and specifications and/or preliminary report, or facilities plan.

B. Users

- 1) Total number of equivalent users _____
- 2) Maximum number of equivalent users _____

C.1. Construction Cost Estimates

Attach engineer's estimates consistent with the following construction costs including a line item breakdown of units eligible and ineligible for Federal and State aid. If previously submitted, additional copies are not required.

C.2. Project Cost Summary – A separate project cost summary may be attached in lieu of this form:

PROJECT COST SUMMARY

	Total Cost	Eligible Cost for Federal Aid	Eligible Cost for State Aid
A. Construction			
Contract #1	\$ _____	_____	_____
Contract #2	_____	_____	_____
Contract #3	_____	_____	_____
Equipment	_____	_____	_____
Small Purchases	_____	_____	_____
Subtotal	\$ _____	_____	_____
B. Preliminary Technical Services			
Preliminary Eng	\$ _____	_____	_____
Inflow/Infil & SSES	_____	_____	_____
Environmental Assess	_____	_____	_____
Testing/Survey/Boring	_____	_____	_____
Other	_____	_____	_____
Subtotal	\$ _____	_____	_____
C. Final Design Technical Services			
Final Design Eng	\$ _____	_____	_____
Testing/Survey/Boring	_____	_____	_____
Easements	_____	_____	_____
Other	_____	_____	_____
Subtotal	\$ _____	_____	_____
D. Construction Phase Technical Services			
Basic Engineering	\$ _____	_____	_____
Resident Inspection	_____	_____	_____
Testing/Survey/Boring	_____	_____	_____
O & M Manual	_____	_____	_____
Operator Training	_____	_____	_____
Record Drawings	_____	_____	_____
Grant Administration	_____	_____	_____
One Year Overview	_____	_____	_____
Other _____	_____	_____	_____
Subtotal	\$ _____	_____	_____

E. Land/ Legal/ Miscellaneous

Legal Expenses	\$ _____	_____	_____
Fiscal (Interest)	_____	_____	_____
Administrative	_____	_____	_____
Land Acquisition	_____	_____	_____
Miscellaneous	_____	_____	_____
Other _____	_____	_____	_____
Contingency	_____	_____	_____
Subtotal	\$ _____	_____	_____
 TOTAL	\$ _____	_____	_____

Date of This Estimate _____

Prepared by _____ ENR Index _____

D. Project Construction Schedule

1. Project will be advertised for bid on _____.
2. Contracts will be awarded within ____ days after bid openings.
3. Project will be completed within ____ days after contract award.

E. Engineering Services

1. Name and Address of Applicant's Consulting Engineer.

_____ Name

_____ Address

_____ Phone & Fax Numbers

2. Proposed Staffing for Resident Engineering and Inspection.

3. Submit draft copies of agreements for all engineering services during the construction phase.

I, _____, Authorized Representative on behalf of _____,
the governing body of the _____, hereby certify that the
foregoing information is true and correct to the best of my knowledge.

Correspondence should be sent to the authorized representative at the following address:

_____	Phone# _____
_____	Fax # _____
_____	Federal ID # _____
_____	Year Ends: _____ [date accounting period ends]
	Email: _____

STATE GRANT APPLICATION 5-09-03 [CONVERTED TO MICROSOFT WORD]

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